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## Mintz Levin Picks Up Ex-Chadbourne Fund Practice Head

By **Kelly Rizzetta**

Law360, New York (October 23, 2012, 8:28 PM ET) -- The former head of Chadbourne & Parke LLP's hedge fund practice group on Monday started at a new post in Mintz Levin Cohn Ferris Glovsky and Popeo PC's corporate and securities section, where he will contribute his experience representing both hedge fund and private equity sponsors and investors.

Based in Mintz Levin's New York office, Adam Gale also brings with him a history of advising funds, their sponsors, broker-dealers, banks and registered investment companies on a wide array of regulatory and securities law compliance issues, his new firm said in a statement Tuesday.

"As we continue to see hedge funds and other private funds become an increasingly important element of capital markets and financial services, we're thrilled to welcome Adam and his wealth of expertise in both the structuring and regulatory compliance of these entities," Mintz Levin's corporate and securities chair, Peter Demuth, said in a statement.

Gale has represented U.S. and international funds and their sponsors — including family entities, universities and large multilateral organizations — in a range of activities, from initial structuring and formation through the fundraising stage, the new Mintz Levin member told Law360 on Tuesday.

He advises on a variety of compliance and regulatory issues, including those related to the Investment Advisers Act, the Commodity Exchange Act, the Investment Company Act of 1940 and the JOBS Act, as well as other securities law issues. His practice also covers Financial Industry Regulatory Authority rules, broker-dealer registration, insider trading and anti-money laundering regulations, according to Mintz Levin.

A former senior counsel with The Bank of New York, Gale has also guided bank clients through issues related to the Volcker Rule and other Dodd-Frank Act provisions, credit-risk policies, bank-broker dealer exceptions and securities credit regulations, his new firm said.

Gale considers his diverse experience an asset.

"Representing both sponsors and investors gives me a broader view of the market," Gale told Law360. "I think clients really appreciate that."

With such a broad client base, it's hard for Gale to pinpoint overarching investment trends, but he noted rising interest in Latin America, a region where he said he has particular expertise, as well as continued interest in the energy and infrastructure sectors.

"I can't say that those are necessarily broad trends, but I have definitely seen more funds trying to get into those areas," he said Tuesday.

On the regulatory side, the clients Gale has brought with him to Mintz Levin are acclimating to their new roles as investment advisers under the Dodd-Frank Act.

"Certainly for private equity funds ... I think they're still getting used to the fact that they're operating under a whole new regime of regulatory and compliance requirements," Gale said.

He also pointed to the impending relaxation of rules governing solicitation and advertising under the JOBS Act as a big concern for his clients, as well as the staged implementation of Form PF reporting, which requires private fund managers to make regular, detailed reports to the U.S. Securities and Exchange Commission about their investment activities.

Gale's broad experience in these areas and others will come in handy as he helps Mintz Levin grow its hedge fund and private equity practices, areas in which the firm already excels, he said.

"The have a deep bench here that has already been doing this kind of work," Gale said of Mintz Levin's corporate and securities section. "And so I'm just glad to be able to add to that."

--Editing by Rebecca Flanagan.

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