

ALUMNI Connections

Mintz Alumni Newsletter | SPRING 2022



A Note from Poonam

— Alumni Program Chair

Greetings from Mintz! We hope this edition of the alumni newsletter finds ou and your family healthy and happy. As spring approaches, we're optimistic about the future and the possibility of resuming more of our regular activities and routines.

Amid the uncertainties and changes of the past year, the firm has ontinued to expand, building on the robust hiring we initiated in 2020. In our current fisca year, which ends in early April, we've brought on a dozen lateral Members, including four in the Tax Practice, plus a half dozen attorneys as Special Counsel or Of Counsel, and elevated nine attorneys to the firm s membership. We've also added more than 80 Associates, bringing our total number of new Associates, Patent Agents, Technical Advisors, and Law Clerks awaiting admission to the bar to more than 100.

In this issue of our alumni newsletter, we feature an interview with alumna Geri Rochino, Senior Commercial Counsel at 10x Genomics. Geri shares highlights of her career path, fond memories of Mintz, and advice she'd give her younger self. We also recap alumni participation in a couple of recent events — and introduce you to laterals who have joined the fir since our last alumni newsletter in July of 2021 and new Members elevated in December. In addition, we showcase recent thought leadership publications,

including our inaugural diversity, equity & inclusion report, in-depth reports on health care enforcement and mid-market private equity, and 2021 pro bono journal, *Transcending*.

We look forward to hosting events for our alumni community in the coming months, in person or virtually, as circumstances allow. We hope you will be able to join us to catch up with former colleagues and expand your personal and professional networks.

In the meantime, please visit the website, reach out with any feedback, and sign up for updates.

Joonan Patidar



Poonam Patidar
Member & Alumni Program Chair



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Featured Profile: Alumna Geri Rochino of 10x Genomics —

Our Alumni Program team recently connected with Geri Rochino, who is now Senior Commercial Counsel at 10x Genomics, about her career path, Mintz memories, and what advice she'd give her younger self.

Tell us about your legal career and how you decided to apply your education in the sciences to the field of law.

When I was in graduate school, I was working on my master's degree, and I attended a talk given by two patent examiners from the USPTO. The patent examiners encouraged us to consider going to law school and use our science background to become patent attorneys. At that time, I realized I didn't want to move forward to a PhD program, and law school seemed like a good choice that would enable me to use my science background.

What was your career path after you left the firm?

When I left the firm, I anted to transition from patent law to contracts. I went in-house to a research tools company, and I took a role in business development where I helped to review licenses to ensure that the company was in compliance with its license term. After a few years, I moved into a contracts attorney role at that same company.

What is the most rewarding aspect of your job, and what is the most challenging?

The most rewarding aspect of my job is that I get to work for companies that are striving to cure diseases directly or developing ways to support the researchers trying to cure the diseases. The most challenging aspect of my job is the timing of our work. Sometimes, it can take a really long time to come up with a drug that can help someone with a particular disease. As you can see with COVID, we have

a few vaccines, but we're still trying to improve them, and we still need to come up with more effective rapid tests and treatment for those suffering from the effects of COVID. There is a real sense of urgency in our work these days.

How has the life sciences industry changed over the course of your career?

The industry has gotten bigger, and there are more and more small companies popping up every day. I think this is leading to more and more partnerships, collaborations, and vendor services, which requires companies to utilize a higher number of contract attorneys these days.

How has your work at Mintz impacted your career?

Mintz had a significant impact on y career. It was at Mintz that I was really taught about the importance of maintaining and fostering professional relationships with your colleagues in the office and with your clients. It's especially important to go out and build your network, and Mintz taught me how to do this well. I am also still in contact with Mintz alumni, even to this day.

What is your favorite memory from your time at Mintz?

I will never forget attending the BIO International Convention in Boston. I had just started working at Mintz, and every patent attorney was fl wn in to attend BIO. This was an opportunity to get to meet many exceptional professionals in my field





If there is one piece of advice you could give to your younger self, what advice would you give?

My advice would be to learn the importance of always nurturing your relationships and people in your life. I think I know this now, but it would've been great to learn it as early as possible. It helps you to value people in your life but also to be a person that can easily build relationships and connections with other people. Especially in law, this serves me every day in my role.

Did you pick up any hobbies during COVID?

Not really. I spent most of the time figuring out h w to keep my kids focused and educated during their virtual classes.

Tell us about your family.

I've been married to my wife for a little over seven years, and we adopted two children. Our daughter is almost nine, and our son is seven. We also have four fur babies — two dogs and two cats.





Mintz Thought Leadership —

Over the past year, Mintz has issued several in-depth reports on emerging industry trends and issues in addition to our 2021 pro bono journal, *Transcending*.



Health Care Enforcement 2021 Year In Review & 2022 Outlook

In our second annual report, Mintz's Health Care Enforcement Defense team examines statistical data recently published by the Department of Justice, our internal database of health care-related qui tam cases, DOJ enforcement actions, and significant ederal agency actions and guidance connected to health care enforcement. We also discuss key enforcement trends and priorities and offer predictions for what to expect in 2022 and beyond.

VIEW THE REPORT >



The Mintz 2021 Mid-Year, Mid-Market Private Equity Report

Our in-depth review of emerging trends and opportunities in the private equity mid-market discusses market developments since the outbreak of the COVID-19 pandemic, including deal trends, current and emerging hot sectors, and the state of the debt markets. We also assess conditions and indicators that may point to continuing market strength.

VIEW THE REPORT >



Transcending — 2021 Mintz Pro Bono Journal

In our 2021 pro journal, we share the stories of pro bono clients who have endured trauma, discrimination, and unjust laws to transcend their circumstances. Our work for individual clients and organizations that help vulnerable populations, along with our involvement in litigation to redress legal or societal wrongs, opens doors for new possibilities for clients, families, and communities.

VIEW THE E-BOOK >





Leading in Diversity, Equity & Inclusion



The 2020 Mintz Diversity, Equity & Inclusion Report

Our inaugural DEI report highlights Mintz programs and initiatives that demonstrate our commitment to fostering an inclusive workplace and legal industry. We discuss the firm's recruiting goals, our scholarship and summer associate program for diverse candidates, the expansion of our parenting policies, recent DEI events, and financia and other support of civil rights organizations and affinity bar sociations. We also share the journeys of a few of our diverse attorneys.

VIEW THE REPORT >





Civil Rights & Voting Rights Acts with Charlie Ferris

Name Member and former Washington, DC office head Charlie Ferris played an integral role in securing passage of the Civil Rights Act of 1964 and drafting the Voting Rights Act of 1965. While serving as the staff di ector for the Democratic Policy Committee of the US Senate and general counsel for then-Senate Majority Leader Michael Mansfield (D-MT), he orked behind the scenes with legislators championing the bills. In this video shown at the firm s MIATTY retreat for minority attorneys and senior professionals in November, Charlie sat down with Member Tyrone Thomas, Chair of the Diversity Committee, to discuss that momentous period in US history and the relevance of this legislation today.

WATCH THE VIDEO >





Recent Events —



Health Section Retreat Book Club Meeting

A book club meeting at the 2021 Health Section Retreat on November 4 featured commentary by former Mintz Member Paul Pelletier, who drew on his background as a prosecutor to discuss *Empire of Pain: The Secret History of the Sackler Dynasty* by Patrick Radden Keefe. The Chair of Mintz's Health Law and Health Care Enforcement Defense Practices, Karen Lovitch, moderated the conversation on law enforcement and regulatory responses to the marketing of OxyContin, litigation related to the opioid crisis, and current regulatory safeguards for the marketing of pharmaceuticals. Pelletier, who previously served as principal deputy chief of the Fraud Section of the US Department of Justice and as an assistant US attorney in Miami, is now a consultant and adjunct professor at the George Washington University Law School. Several Mintz attorneys also provided commentary, including Members Joanne S. Hawana and Benjamin M. Zegarelli.





Association of Corporate Counsel 2021 Annual Meeting

At the Association of Corporate Counsel's 2021 Annual Meeting in October, two Mintz alum joined Member and Antitrust Practice Co-chair Joseph M. Miller in presenting on a panel titled, "Antitrust & the Big Tech Cases: What They Mean for Your Compliance Program." Dionne Lomax, a former Mintz Member who is now managing director of antitrust and trade regulation at Affil ted Monitors, Inc., along with Accenture Senior Compliance Counsel and former Mintz Associate Helen Lee, Miller, and others, discussed the recent groundswell of antitrust cases. After years of low-level grumbling about Google, Facebook, Apple, and Amazon's hold over social networking, online advertising, online search, and other areas, the antitrust floodg tes have opened. Federal and state enforcers from all over the political spectrum have sued the big tech giants for monopolizing various markets. This session reviewed DOJ guidance on antitrust compliance, reviewed trends in the law, and provided practical takeaways applicable for large and small legal departments.

Meet Our Recent Laterals —



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GREGG BENSON >

Member, Tax, New York

Gregg has a multifaceted tax law practice that encompasses advising clients on tax matters related to transactions, estate tax planning, and renewable energy projects. He has extensive experience with tax issues involving US and cross-border taxable and tax-free mergers and acquisitions, spin-offs, c oss-border tax structuring, partnerships, and limited liability companies. He regularly represents US and international private equity and investment fund sponsors and investors as well as investors and developers in renewable energy projects. In addition, US and international high-net-worth individuals, family offices, and closely held businesses seek his counsel on US and cross-border income and estate tax planning issues.



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BARRY BOHRER >

Member, Litigation, New York

Barry has a national litigation practice defending corporations, financial in titutions, political figu es, executives, professionals, and law firms in whi e collar criminal and complex civil cases. He has a strong track record of trial and appellate wins, including in cases involving securities and commodities, financial f aud, allegations of environmental rule violations, and antitrust matters. He also conducts corporate internal investigations and represents clients in proceedings involving the US Department of Justice, the Securities and Exchange Commission, and the Commodity Futures Trading Commission. Barry has been listed as a top white collar defense lawyer by many organizations and publications, including *The Best Lawyers in America*, *Chambers USA*, and *The Legal 500 United States*.



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NATHAN COCO >

Member, Bankruptcy

Nathan is a seasoned bankruptcy attorney with extensive capabilities across the restructuring and insolvency arena, including with distressed finan e and creditor rights matters, mergers and acquisitions transactions, and bankruptcy litigation. He regularly represents institutional investors, indenture trustees, public debt holders, strategic and financial bu ers, and asset-based lenders, frequently achieving successful outcomes in distressed debt transactions involving corporations, public projects, and defaulted municipal securities. His clients span a variety of industries, including health care, senior living, derivative financial p oducts, retail, energy, manufacturing, and financial servi es. Nathan has been recognized by *Chambers USA* and *The Legal 500 United States*.







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JONATHAN ENGLER >

Member, Intellectual Property, Washington, DC

Jonathan is a seasoned intellectual property attorney who represents clients at the International Trade Commission (ITC) and in appeals of those disputes at the US Court of Appeals for the Federal Circuit. Drawing on his extensive experience as an ITC attorney in private practice and his tenure as an attorney with the ITC and the US Department of Commerce, he successfully represents parties on both sides of ITC disputes. His work encompasses matters involving patent, trade secret, copyright, and trademark claims. Jonathan also regularly represents clients before US Customs and Border Protection in matters involving the enforcement of ITC exclusion orders.



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ARI FEDER >

Member, Tax, New York

Ari is a tax attorney who advises private equity and alternative investment fund sponsors on a broad spectrum of tax issues, including tax aspects of fund formation, fund structuring, and M&A transactions. He has a particular focus on matters relating to partnership taxation and cross-border taxation, such as the US tax aspects of cross-border deals. Ari also has significan experience representing institutional investors, frequently counseling them on the tax aspects of investments in private equity funds, hedge funds, real estate joint ventures, and other alternative investment vehicles.



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ANNE LEVIN-NUSSBAUM>

Member, Tax, New York

Anne is a seasoned tax attorney with more than 25 years of experience and a particular focus on renewable energy transactions and financing. She egularly represents sponsors, lenders, and tax equity investors in the financing of esidential, utility, and commercial wind and solar energy projects. Anne also has experience with M&A transactions involving wind and solar projects, joint ventures for acquiring and selling renewable energy projects, and domestic and cross-border leasing transactions involving power plants, transportation assets, and equipment. Her practice also encompasses counseling clients on tax credits and other incentives available for renewable energy assets and preparing tax opinions to establish "start of construction" status.



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JASON MCCAFFREY >

Member, Corporate, Boston

Jason represents US and international clients in complex corporate and securities law matters. He has significant experience advising companies across the life sciences, technology, energy, and fin ech sectors on cross-border capital markets matters and mergers and acquisitions. He regularly represents issuers and underwriters in initial and secondary securities offerings and advises clients on tender and exchange offers. His practice also encompasses special purpose acquisition companies (SPACs) and other SPAC transaction participants on their IPOs, business combinations, and SEC obligations. Clients ranging from financial in titutions and their holding companies to start-ups to Fortune 100 companies also seek his counsel on securities and governance matters.



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MEGAN PREUSKER >

Member, Bankruptcy

Megan is a bankruptcy attorney whose practice encompasses a broad spectrum of complex restructuring and insolvency matters as well as enforcement of creditor rights and remedies. She has extensive experience representing institutional investors and indenture trustees in distressed municipal debt matters, including corporate and municipal bankruptcies and out-of-court restructurings. Megan advises clients across a variety of industries, with an emphasis on health care, senior living, retail, charter schools, and higher education. In 2021, she was recognized among *Best Lawyers in America*'s "Ones to Watch" in Bankruptcy and Creditor Debtor Rights/Insolvency and Reorganization Law.



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TIM SANTOLI >

Member, Tax, New York

Tim has 20 years of experience counseling clients on US and international federal income tax matters related to venture capital, private equity, and other transactions, as well as fund formation, emerging companies, and bankruptcy. He advises clients on US and cross-border tax-planning strategies, taxable and tax-free mergers, and acquisitions and dispositions of US and foreign corporations. His clients have included private equity fund and venture capital sponsors and their portfolio companies, other financial servi es entities, and companies in the health care, pharmaceuticals, technology, retail, education, automotive, and cannabis industries. Tim has been recognized by *The Legal 500 United States* in the areas of non-contentious tax and international tax.





Our Newly Elevated Members —



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KEVIN AMENDT >

Intellectual Property, Boston

Kevin is a patent attorney who focuses his practice on strategic intellectual property counseling, including patent procurement matters and post-grant proceedings before the US Patent and Trademark Office and the Patent Trial and Appeal Board. He counsels clients on all aspects of the patent system, including portfolio management, patentability, prosecution, freedom to operate assessments, and validity and infringement studies. Kevin works with inventors, venture-backed start-ups, academic institutions, hospitals, and multinational companies, helping secure patent protection that reflects his clients' busine s plans. His experience spans a broad range of technical fields, including elecommunications, wireless technologies, video coding, artificia intelligence, blockchain, software systems, consumer electronics, robotics, and biotechnology.



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ANNE BRUNO >

Employment, Labor & Benefits, Boston

Anne is a seasoned attorney with a multifaceted practice spanning several areas of corporate and employment law. She has extensive experience advising clients on issues related to executive compensation and employee benefits, including equity and in entive plans, change in control arrangements, and severance and employment agreements, along with related tax, corporate, and securities law concerns. She also regularly counsels clients on the intricacies of federal securities laws and corporate governance obligations, providing assistance on disclosure filings, sha eholder materials, and governance policies. Anne represents public and private companies ranging from start-ups to multinationals as well as boards of directors and compensation committees.



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MATT GALICA >

Intellectual Property, Boston

Matt is an intellectual property attorney whose practice encompasses patent litigation, strategic intellectual property counseling, and the rapidly evolving landscape of standard-essential patent enforcement and licensing. He represents clients before the International Trade Commission, federal district courts, and the Patent Trial and Appeal Board. He also advises clients on complex IP transactions and related diligence and develops portfolio management strategies for high-technology companies, including those with standard-essential technology in the memory, RF, and telecommunication spaces. His experience spans a broad range of complex technologies, including graphics processors, radio-frequency circuitry, audio and video processing, consumer telecommunications systems, and double data rate-compliant memory modules.



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MATTHEW GAUTIER >

Corporate, New York

Matthew concentrates his practice on debt financing t ansactions. He advises corporate clients, private equity sponsors, lenders, and other financial in titutions on a broad range of complex secured and unsecured financing t ansactions. He has extensive experience with leveraged acquisitions, investment grade and other corporate loans, venture debt, refinancings restructurings, and structured loan products. His work has included representing sponsor-backed companies in connection with credit facilities of \$1 billion or more for acquisition financing an working capital. He has also advised joint lead arrangers in connection with obtaining term loans and credit facilities to finan e leveraged buyouts and cross-border acquisitions.



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MATT PAGE >

Public Finance, Boston

Matt focuses his practice on public finan e matters, particularly the new issuance of municipal securities by governmental and nonprofit entities in the a ordable housing, health care, education, and transportation sectors. He has experience serving as bond counsel, including on revenue obligations to finan e affordable housing and water and sewer projects and financings or regional transit authorities. He also serves as counsel to underwriters of publicly offered municipal securities and as bond counsel or borrower's counsel on nonprofit financings or capital projects. Matt was recognized by *Chambers USA* in 2021 as an "Associate to Watch" in Massachusetts in the Banking & Finance: Public Finance category.



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TALIA PRIMOR >

Corporate, New York

Talia advises clients on the formation and operation of venture capital, private equity, and hedge funds and counsels start-ups and early stage companies on corporate matters. In the private funds side of her practice, she assists funds and their general partners, management companies, and related entities with operational, securities law, and regulatory matters. She also frequently represents fund sponsors, family offices, and institutional investors in connection with their investments in private companies, issues related to subscribing to private funds, and strategic transactions. Emerging companies seek her counsel on the structure and formation of corporate entities, commercial contracts, and financings







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REBECCA RAPHAELSON >

Litigation, Boston

Rebecca is a litigator who represents clients in construction and business litigation and fiduciar matters in courts and alternative dispute resolution forums, handling all stages of a dispute. She has particular experience representing property owners, developers, and general contractors of projects throughout the United States in matters involving construction contracts. Along with advising clients on delay claims, cost overruns, terminations, and defective work, Rebecca also drafts and negotiates construction management agreements and other project-related documents. Rebecca was named one of *Boston Magazine*'s "2021 Top Lawyers" in the Construction Litigation category and recognized by *The Legal 500 United States* for her work in construction law.



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TODD ROSENBAUM >

Litigation, New York

Todd has a diverse litigation practice encompassing general commercial disputes, securities litigation, white collar criminal defense, and government enforcement matters, as well as internal investigations. He is an integral member of teams representing clients at the trial and appellate court levels and in domestic arbitration and has developed particular experience with close corporation disputes and class action defense. In an external rotation with the New York City Law Department Corporation Counsel, Todd gained litigation experience representing the City in cases involving tort claims. In 2021, Todd was recognized by *Chambers USA* as an "Associate to Watch" in New York in the General Commercial Litigation category.



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ALEX SONG >

Employment, Labor & Benefits, New York

Alex is an employment lawyer who assists clients with navigating the complex rules and regulations involved in a broad range of employment and executive compensation matters. He represents clients in a wide variety of industries in the negotiation and design of employment, consulting, severance, and non-competition agreements. He also provides guidance on the structuring of compensation packages, including change in control, deferred compensation, and equity compensation agreements. His practice also encompasses counseling management teams on employment issues associated with mergers, acquisitions, and other transactions.



Connecting the Mintz Community with Career Opportunities —

As part of our ongoing commitment to helping the firm's alumni advance their careers, the Mintz Alumni Committee identifies job opportunities of in erest to our network. If you would like to learn more about our alumni offerings, please don't hesitate to contact our Alumni Program Chair, Poonam Patidar, at 617.348.3038 or PPatidar@mintz.com.

A Note from Bob Bodian

Managing Member

In the months since our last alumni newsletter, Mintz has progressed in the face of challenging and everchanging circumstances, and we know that many of you have as well. We've continued to expand significantly, adding 12 lateral Members, elevating nine Members from our ranks of associates and counsel, and welcoming more than 100 others to our practices and our Mintz community around the country. We've also deepened our commitment to diversity, equity & inclusion while continuing to post record financial results on all metrics.

Through professional and social events and by connecting alumni with career, speaking, and CLE opportunities, we maintain and strengthen ties with the many alumni whose contributions have shaped our firm. You are an integral part of Mintz, and we hope that our alumni outreach and programs are also valuable to you.

Please stay in touch and send us your feedback, visit the website, and sign up for updates.





Bob Bodian *Managing Member*



